

01/06/2018  
R.2.2

Recall from last time:

(1)

Rational data:  $B/F$ ,  $V = \text{f.d. } B\text{-mod}$ ,  $G = GL_3(V)$

$\{ \mu \}$  cochar. of  $G_{\overline{\mathbb{R}}}$ , with values in  $\{0, 1\}$  in  $V$   
 $\mapsto E = E(\{ \mu \}) \subseteq \mathbb{P}^n$

$b \in G(\mathbb{P}^n)$  s.t.  $\{ b \} \in A(G, \{ \mu \})$ .

Integral data:  $\Lambda_0 \neq \Lambda_1 \neq \dots \neq \Lambda_n \neq \Lambda = \mathbb{Z} \cdot \Lambda_0$

periodic chain of lattices in  $V$ ,  $\mathbb{Z}$ -stable.

After choice of framing object  $(X, e)$ , get naive  $\mathbb{R}^2$ -space of

$\mathcal{X}(S) = \{ (X, e) \mid X = X_0 \rightarrow X_1 \rightarrow \dots \rightarrow X_{n-1} \rightarrow X_0 \}$   
 chain of isogeny of  $p$ -div  $gp/S$   
 $\mathbb{Z}$ -linear,  $\deg(X_{i-1} \rightarrow X_i) = \# \Lambda_i / \Lambda_{i-1}$

$e = X \cdot x_S \xrightarrow{\text{q.i.}} X \times y_{\text{stack}} \xrightarrow{\text{stack}} S$

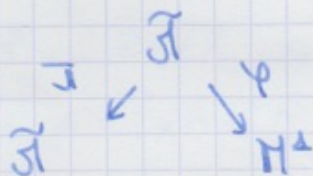
+Kottwitz conditions on each  $X_i$ .

LTD:

principal homo. sp.

under  $G \otimes \mathbb{C}$

$G = \text{Aut}(\Lambda)$



$\mathcal{X} = \{ (X, e), \alpha: \text{Har}(X) \rightarrow \Lambda \otimes_S \mathbb{Z} \}$

$\varphi(X, e, \alpha): \Lambda \otimes_S \mathbb{Z} \xrightarrow{\varphi} \text{Har} X$

§3. naive  $\mathbb{R}^2$  spaces of PEL-type. ( $p \neq 2$ )

Rational data: a simple  $\mathbb{R}^2$ -datum of PEL-type:

- $F, B, V$  as before
- $(,)$  all forms on  $V$ , with values in  $\mathbb{R}$ .
- Involution  $*$  on  $B$  s.t.  $(b \nu, \nu) = (\nu, b^* \nu)$
- $\{ \mu \}$  conj. class of  $G_{\overline{\mathbb{R}}}$  where  $G = \text{group of}$

$\mathbb{R}$ -

$$G(\mathbb{R}) = \{ g \in GL_3(V \otimes \mathbb{R}) \mid (g \nu, g \nu) = c(g) \cdot (\nu, \nu), c(g) \in \mathbb{R}^{\times} \}$$

$b$  with  $\{ b \} \in A(G, \{ \mu \})$ .

Conditions:  $G_m \xrightarrow{\text{id}} G_{\overline{\mathbb{R}}} \xrightarrow{c} G_{m, \mathbb{R}}$   
 comp = id.

Integral data: a lattice  $\Lambda$  in  $V$ ,  $\mathcal{O}_3$ -stable s.t.

$$\exists \Lambda \subseteq \Lambda^V \subseteq \Lambda \quad (\text{vector lattice})$$

$$\mapsto \mathcal{G}/\mathbb{Z}_p : \mathcal{G}(\mathbb{Z}_p) = \{g \in G(\mathbb{Z}_p) \mid g\Lambda = \Lambda\}$$

This time: want to look at pairs  $(X, \lambda)$

where  $X$  is as in EL case.

$$\lambda : X \rightarrow X^V \text{ polarization } (= \text{isg. into the dual})$$

p-div. gp s.t.  $\lambda^V = -\lambda$

$$\text{s.t. } \ker \lambda \subseteq X[\pi], \text{ deg } \lambda = \#(\Lambda/\Lambda^V)$$

Fixed pairing object  $(X, \iota_X, \lambda_X)/k$  s.t.

rational Dieudonné-module, with action of  $\mathbb{Z}$

+ polar. form is isom. to  $(V \otimes_{\mathbb{Z}} \mathcal{O}_n^{\vee}, \iota, \lambda, (\cdot, \cdot))$

Functor on Hilps:  $S \mapsto \{ \text{iso. classes of } (X, \iota, \lambda, e) \}$

$$(X, \iota, \lambda) \text{ as before, } e : X \times_S \bar{S} \rightarrow X \times_{\text{stack}} \bar{S}$$

$$\mathcal{O}_3\text{-linear s.t. } e^*(\lambda_X) = c \cdot \lambda \times_S \bar{S} \text{ for some } c \in \mathcal{O}_n^{\vee} \text{ loc. on } S$$

It is rep. by formal scheme loc. f.f.t. /  $\text{Spf } \mathcal{O}_n^{\vee}$ .

Remarks: (i)  $G$  not automatically connected LAG

(this occurs when  $G$  related to orthog. groups:

exclude these cases).

(ii) Even when  $G$  connected,  $\mathcal{G}$  not always parahoric.

$$\text{Ex) } \mathbb{Z} = \mathbb{F}, * \neq \text{Id}, \text{ let } \mathbb{F}_0 = \mathbb{F}^{(*)}$$

If  $\mathbb{F}/\mathbb{F}_0$  unramified then  $\mathcal{G}$  parahoric.

If  $\mathbb{F}/\mathbb{F}_0$  ramified,  $\dim V$  even, then  $\mathcal{G}$  is never parahoric unless  $\Lambda^V = \pi\Lambda$ .

- Variants: a) Can replace  $\mathbb{D}_n$  by finite ext. (2)  
 b) Instead of one  $\lambda$ , can take  
 "self dual periodic lattice chains".

Examples:

a)  $B = F = \mathbb{D}_n$ ,  $* = \text{trivial}$ . Then  $G = \text{GU}(V, (\cdot, \cdot))$ ,  
 only one  $\{\mu\}$ . Let  $\mathfrak{b}$  be basic (only slopes  $\pm 1/2$ ).  $\Lambda = \Lambda^V$ .  
 Then  $\mathcal{G}$  hyperbolic.

$\mathcal{G}^{\text{red}} = \begin{cases} \{\text{pt}\} & \text{if } \dim V = 2. \\ \text{union of } \mathbb{P}^1\text{'s} & \text{if } \dim V = 4 \\ \text{uncompressible} & \text{if } \dim V \geq 6 \text{ (Del, Li, Richartz)}. \end{cases}$

b)  $F = B$ ,  $* \text{ non trivial}$ ,  $F_0 = \mathbb{D}_n$ . Then  $G = \text{GU}(V)$ ,  
 $\{\mu\} = (1, 0, \dots, 0)$ ,  $\mathfrak{b}$  basic,  $\Lambda = \Lambda^V$ .

Then  $\mathcal{G}^{\text{naive}}$  is  $\emptyset$  - flat if  $F/F_0$  unramified,  
 not flat if  $F/F_0$  ramified.

Replace  $\mathcal{G}^{\text{naive}}$  by  $\mathcal{G}^{\text{top}}$  if  $F/F_0$  ramified.

If  $F/F_0$  unramified, then  $\mathcal{G}^{\text{red}} = \text{union of compactified}$

(Vallboad, Madhain)  $\leftarrow$  (DL-varieties for unitary grps,  
 assoc. to Coxeter elements)

If  $F/F_0$  ramified, then " " " " " "

(R. / - / Milson)  $\leftarrow$  ( " " " " " " symplectic grps

c)  $B = F$ ,  $* \neq \text{Id}$ ,  $F_0 = \mathbb{D}_n$ . Assume  $F/F_0$  ramified.  
 Let  $\dim V = 2$ .

Assume  $V$  split. Then  $G = \text{GU}(V)$  quasi-split gr.  
 $\{\mu\} = (1, 0)$ ,  $\Lambda^V = \mathbb{Z}\Lambda$ . Finally, assume  $\mathfrak{b}$  basic.

Fact: Up to  $\sigma$ -conj.,  $\exists \mathfrak{b}_1$  s.t.  $[\mathfrak{b}_1] \in \mathcal{B}(G, \{\mu\})$   
 and  $\mathfrak{b}_2$  s.t.  $[\mathfrak{b}_2] \in \mathcal{A}(G, \{\mu\}) / \mathcal{B}(G, \{\mu\})$

$$\mathcal{A}_1^0 \cong \text{Spf } W[[T]] \quad , \quad \mathcal{A}_2^0 \cong \text{Spf } \mathbb{F}_q[[T]]$$

d) As in c), except assume now  $V$  anisotropic,  $\Lambda^V = \Lambda$ ,  
 $b$  basic ( $\Rightarrow [b] \in \mathcal{B}(G, \{\mu\})$ ).

Then  $\mathcal{A}^0 \cong \widehat{\Omega}_{\text{an}}^e \widehat{\otimes}_{\mathbb{Z}_p} \mathbb{Q}^v$   
 (alternative version of Drinfeld space).

### §6. Local models.

Def:  $\mathbb{F}/\mathbb{Q}_p$  finite extension.

A local model triple over  $\mathbb{F} \stackrel{\text{def}}{=} (G, \{\mu\}, \mathcal{G})$  where

- $G$  reductive  $\text{gp}/\mathbb{F}$
- $\{\mu\}$  conj. class of cochar, minuscule  
 ( $\forall$  roots  $\alpha$   $\langle \mu, \alpha \rangle \in \{0, \pm 1\}$ ).
- $\mathcal{G}$  parabolic  $\text{gp}$  scheme over  $\mathbb{F}$ .  
 (in particular,  $\mathcal{G}(\mathbb{F}) \subset G(\mathbb{F})$  parabolic subgroup).

$$\rightarrow E = E(\{\mu\}) \subseteq \overline{\mathbb{F}}.$$

Assume  $G$  splits over tamely ramif. extension  
 (for general case, see SW + §).

Can associate to LT-triple a projective variety  $\mathcal{A}$   
 over  $k = \overline{k_{\mathbb{F}}}$ , with action of  $\mathcal{G} \otimes_{\mathbb{F}} k$ .

Namely,  $\mathcal{A}$  closed subscheme of a loop group  
 flag variety  $[G'/t^+ \mathcal{G}']$  associated to LAG  $G'$  over  $k((t))$   
 and parabolic group scheme  $\mathcal{G}'/k[[t]]$ .

Example. If  $G = G_0 \otimes_{\mathbb{Z}_p} \mathbb{F}$ ,  $G_0$  Chevalley form  
 then  $G' = G_0 \otimes_{\mathbb{Z}_p} k((t))$

$\mathcal{A}$  is a union of affine Schubert varieties

$$\mathcal{A} = \bigcup_{w \in \text{Adm}(\mu)} S_{w_0}$$

Def: A local model attached to the LT-triple  $(G, \{j, \lambda\}, \mathcal{G})$  (3)  
 = proj. flat  $\mathcal{O}_E$ -scheme  $\mathbb{A}^{\text{loc}}$  with reduced special fiber,  
 with an action of gp scheme  $\mathcal{G} \otimes_{\mathcal{O}_E} \mathcal{O}_E$  s.t.  
 • the generic fiber of  $\mathbb{A}^{\text{loc}} = X_{\{j, \lambda\}} = G_E / P_{\{j, \lambda\}}$   $G_E$ -equiv.  
 • geometric special fiber of  $\mathbb{A}^{\text{loc}} = \text{st}$   $G_{\text{ok}}$ -equiv.

Conjecture: A LT exists and is unique.

Facts: a) Existence known

b) In EL/REL-cases, have closed emb.

$\mathbb{A}^{\text{loc}}(G, \{j, \lambda\}, \mathcal{G}) \subseteq \mathbb{A}^{\text{naive}}$  iso in generic fiber.

$\mathbb{A}^{\text{loc}}$  normal scheme, all irred. comp. of  $\mathbb{A}^{\text{loc}} \otimes k$   
 are normal + CT.

Thm (HPR). Assume  $G_{\text{ad}}$  is  $F$ -simple and that  
 in the product decomp.  $G_{\text{ad}} \otimes_F \bar{F} \cong \prod G_{\text{ad}, i}$ ,  
 each factor is absolutely simple. Then  
 $\mathbb{A}^{\text{loc}}$  is smooth over  $\mathcal{O}_E$  iff either  $\mathcal{G}$  is hyperspecial  
 or  $(G, \{j, \lambda\}, \mathcal{G})$  is of unitary, resp. orthogonal,  
 exotic good reduction type.

Explanation:

Unitary case:  $E/F$  ramif. quad. ext.

$V = E/F$  hermit. sp,  $G = \text{GU}(V)$ ,

$\mathcal{G} = \text{Stab}(\lambda)$  where  $\lambda^V = \begin{cases} J \lambda & \dim V \text{ even} \\ \neq J \lambda & \dim V \text{ odd} \end{cases}$

$\{j, \lambda\} = (1, 0, \dots, 0)$   $\mathbb{A}^{\text{loc}}$  - smooth.

Thm. Let  $G$  absolutely simple. Then  $\mathbb{A}^{\text{loc}}$  has  
 semi-stable, but not good reduction iff  
 (up to unramified ext.  $F'/F$ ) one of the following cases:  
 $\exists$  Shimura case ( $G = \text{Res}_K/k, \{j, \lambda\} = (1, 0, \dots, 0), \mathcal{G}$  parahoric)

2] York case ( $G = \mathbb{R}G_n$ ,  $\mu = (1^{(n)}, 0^{(n-1)})$ ,  $\gamma = \text{stab}(N, N)$ )

3]  $G = \mathbb{R}Sp_n$ ,  $\mu$ ,  $\gamma = \text{stab}(N, N)$

4] Faltings case  $G = \mathbb{R}O_{2n}$ ,  $\mu = \mu_{\text{quadr}}$ ,  $\gamma = \text{stab}(\pi\text{-mod lattice})$

